



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tai-Tung YIP, et al.

Title:

SERUM BIOMARKERS IN HEPATOCELLULAR CARCINOMA

Appl. No.:

10/508,781

Filing Date:

09/19/2005

Examiner:

Unassigned

Art Unit:

1642

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date 13 March 2006

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

035394-0265

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449B/PTO INFORMATION DISCLOSURE Application Number 10/508,781 MAR 1 3 2006 STATEMENT BY APPLICANT Filing Date 9/19/2005 First Named Inventor Tai-Tung YIP e Submitted: March 13, 2006 Group Art Unit 1642 ise as many sheets as necessary) **Examiner Name** Unassigned TRADE

U.S. PATENT DOCUMENTS							
Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant		
	Number	Kind Code ² (if known)		MM-DD-YYYY	Figures Appear		
B1	6 020 208		BAYLOR COLLEGE OF MEDICINE	2/1/2000			
B2	5 894 063		BAYLOR COLLEGE OF MEDICINE	4/13/1999			
В3	5 719 060		BAYLOR COLLEGE OF MEDICINE	2/17/1998			
				<u></u>			
	No.1 B1 B2	Number B1 6 020 208 B2 5 894 063	No.1 Number Kind Code² (if known) B1 6 020 208 B2 5 894 063	No.1 Number Kind Code ² (if known) Number Kind Code ² (if known) BAYLOR COLLEGE OF MEDICINE	Cite No.1 Number Kind Code ² (if known) Name of Patentee or Applicant of Cited Document MM-DD-YYYY Cited Document MM-DD-YYYY B1 6 020 208 BAYLOR COLLEGE OF MEDICINE 2/1/2000 B2 5 894 063 BAYLOR COLLEGE OF MEDICINE 4/13/1999		

Attorney Docket Number

1

U.S. PATENT APPLICATION DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Applicat Serial Number	ion Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Filing Date of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner Initials*	Cite No.1	Office ³	Foreign Patent Do	cument Kind Code ⁵ (if known)	FOREIGN PATENT DOCUMENTS Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	16

-	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	1e		
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Examiner Signature	Date Considered	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.